

**STATE OF RHODE ISLAND
BEFORE THE RHODE ISLAND ETHICS COMMISSION**

**In re: William W. O'Brien,
Respondent**

Complaint No. 2016-8

ORDER

This matter having been heard before the Rhode Island Ethics Commission on June 21, 2016, pursuant to Commission Regulation 1011, and the Commission having considered the Complaint herein, the arguments of counsel, and the proposed Information Resolution and Settlement, which is incorporated by reference herein, it is hereby:

ORDERED, ADJUDGED AND DECREED

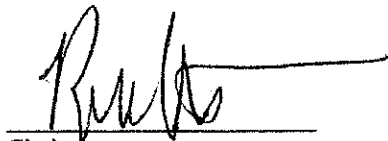
THAT the Commission approves the Informal Resolution and Settlement as submitted;

THAT the Commission incorporates by reference herein the Findings of Fact and Admissions, and the Conclusions of Law, set forth in the Informal Resolution and Settlement;

THAT the Respondent violated Commission Regulation 36-14-16(c); and

THAT the Respondent is ordered to pay a civil penalty in the amount of One Hundred Dollars (\$100.00).

ENTERED as an Order of this Commission,

A handwritten signature in black ink, appearing to be 'R. White', written over a horizontal line.

Chairperson

Dated: June 21, 2016

**STATE OF RHODE ISLAND
BEFORE THE RHODE ISLAND ETHICS COMMISSION**

**In re: William W. O'Brien,
Respondent**

Complaint No. 2016-8

INFORMAL RESOLUTION AND SETTLEMENT

The Respondent, William W. O'Brien, and the Rhode Island Ethics Commission ("Commission") hereby agree to a resolution of the above-referenced matter as follows:

I. FINDINGS OF FACT & ADMISSIONS

1. The Respondent was a candidate for State Representative, a state elected position, in the November 2010 and November 2012 general elections. The Respondent was unsuccessful in his bid for state office in November 2010, but was elected to the office of State Representative on November 6, 2012.

2. As a candidate for state elected office during calendar year 2010, the Respondent was required to file a 2009 Financial Disclosure Statement ("Statement") with the Commission within 30 days of declaring candidacy, as required by Rhode Island General laws § 36-14-16(c).

3. As a candidate for state elected office during calendar year 2012, the Respondent was required to file a 2011 Statement with the Commission within 30 days of declaring candidacy, as required by Rhode Island General laws § 36-14-16(c).

4. Upon learning that he had a filing obligation for 2009 and 2011 subsequent to the filing of the instant Complaint on June 2, 2016, the Respondent submitted his 2009 and 2011 Statements within 24 hours in order to become compliant.

5. Once elected to the office of State Representative, the Respondent timely filed his 2012-2015 Statements.

II. CONCLUSIONS OF LAW

1. As a candidate for state elected office in 2010 and 2012, the Respondent was

required to timely file the 2009 and 2011 Statements with the Commission, pursuant to Rhode Island General Laws § 36-14-16(c).

2. By failing to file the 2009 and 2011 Statements with the Commission until June 3, 2016, the Respondent did not timely comply with the requirements of Rhode Island General Laws § 36-14-16(c).

III. SETTLEMENT

Pursuant to the above Findings of Fact and Conclusions of Law, the parties hereby agree, subject to the approval of the Commission, to the following, pursuant to Rhode Island General Laws § 36-14-13(d) and Commission Regulation 1011:

1. The Commission shall enter an Order and Judgment that, by failing to timely file the 2009 and 2011 Statements, the Respondent failed to comply with the financial disclosure filing mandate of Rhode Island General Laws § 36-14-16(c).

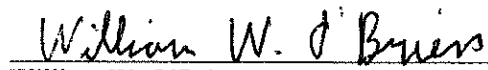
2. The Prosecution will recommend, and the Respondent agrees to pay, a civil penalty in the amount of One Hundred Dollars (\$100.00).

3. The above terms represent the full and complete Informal Resolution and Settlement for Complaint No. 2016-8.


Teresa Giusti, Esq. (Bar No. 8006)

Commission Prosecutor

Dated: June 14, 2016


William W. O'Brien

Respondent

Dated: 6/14/16


Nicholas Denice, Esq. (Bar No. 9194)

Attorney for the Respondent

692 Main Street

Coventry, RI 02816

Dated: June 14, 2016